## 4.6. Wednesday for MAT4002

There will be a quiz on Monday.

## Reviewing.

• Connectedness / Path-Connectedness

## 4.6.1. Remark on Connectedness

**Proposition 4.14** All path connected spaces *X* are connected.

*Proof.* Fix any  $x \in X$ , for all  $y \in X$ , there exists a continuous mapping  $p_y : [0,1] \to X$  such that

$$p_{y}(0) = x, \quad p_{y}(1) = y.$$

Consider  $C_y = p_y([0,1])$ , which is connected, due to proposition (4.9).

Note that  $\{C_y\}_{y \in X}$  is a collection of connected sets, and for any  $y, y' \in X$ ,  $C_y \cap C_{y'} \ni \{x\}$  is non-empty. Applying proposition (4.10), we imply  $X = \bigcup_{y \in X} C_y$  is connected.

Example 4.5
 1. Exercise: if A ⊂ B ⊂ A, then A is connected implies B is connected.
 (Hint: U ∩ A = Ø implies U ∩ A = Ø.)

*Proof.* Suppose *B* is not connected, i.e., for any open *U*, *V* such that  $B \subseteq U \cup V$  and  $(U \cap V) \cap B = \emptyset$ , we imply  $U \cap B \neq \emptyset$  and  $V \cap B \neq \emptyset$ , and therefore

$$U \cap \overline{A} \neq \emptyset, \quad V \cap \overline{A} \neq \emptyset$$

which implies

$$U \cap A \neq \emptyset, \quad V \cap A \neq \emptyset$$

which contradicts to the connectedness of *A*.

 The converse of proposition (4.14) may not be necessarily true. Consider the so-called Topologist's bomb example:

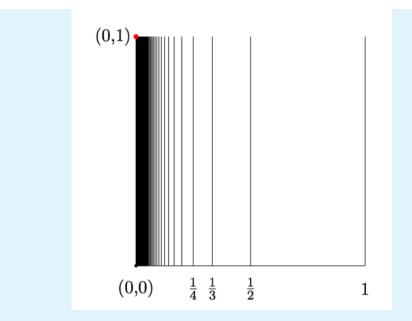


Figure 4.1: Connected space X but not path-connected

Here we construct a connected space  $X \subseteq \mathbb{R}^2$  but not path-connected shown in Fig (4.1), i.e., the union of the interval [0,1] together with vertical line segments from (1/n,0) to (1/n,1) and the single point (0,1).

$$X = ([0,1] \times \{0\}) \cup \bigcup_{n \ge 1} (\{1/n\} \times [0,1]) \cup (0,1).$$

(a) Firstly, X is not path-connected. We show that there is no path in X links (0,1) to any other point, i.e., for continuous mapping  $p:[0,1] \rightarrow X$  with p(0) = (0,1), we may imply p(t) = (0,1) for any t. Define

$$A = \{t \in [0,1] \mid p(t) = (0,1)\}.$$

We claim that A = [0,1], i.e., suffices to show A is both open and closed in [0,1]:

i. The set  $A = p^{-1}(\{0,1\})$  is nonempty and closed, since the pre-image of a closed set is closed as well.

ii. The set A is open: choose  $t_0 \in A$ . By continuity of p, there exists  $\delta > 0$  such that

$$\|p(t) - (0,1)\| = \|p(t) - p(t_0)\| < \frac{1}{2}, \quad t \in [0,1] \cap (t_0 - \delta, t_0 + \delta).$$

Since there is no point on the x-axis with the distance 1/2 to the point (0,1), we imply p(t) is not on the x-axis when  $t \in [0,1] \cap (t_0 - \delta, t_0 + \delta)$ . Therefore, the x-coordinate of p(t) is either 0 or of the form 1/n.

It suffices to show the open interval  $I := [0,1] \cap (t_0 - \delta, t_0 + \delta)$  is in A. Define the composite function  $f = x \circ p : I \to \mathbb{R}$ , where the mapping  $x : \mathbb{R}^2 \to \mathbb{R}$  is defined as  $(a,b) \mapsto a$ . Note that I is connected, we imply f(I) is connected, and f(I) belongs to  $\{0\} \cup \{1/n\}$ .

The only nonempty connected subset of  $\{0\} \cup \{1/n\}$  is a single point (left as exercise), and therefore f(I) is a single point. Since  $f(t_0) = 0$ ,we imply  $f(I) = \{0\}$ , i.e.,  $I \subseteq A$ . Therefore A is open.

## 4.6.2. Completeness

Compact set in X is used to generalize "closed and bounded" in  $\mathbb{R}^{n}$ .

**Definition 4.11** Let  $(X, \mathcal{T})$  be a topological space. A collection  $\mathcal{U} = \{U_i \mid i \in I\}$  of open sets is an open cover of X if

$$X = \bigcup_{i \in I} U_i$$

A subcover of  ${\mathcal U}$  is a subfamily

$$\mathcal{U}' = \{ U_j \mid j \in J \}, \quad J \subseteq I$$

such that  $\bigcup_{j \in J} U_j = X$ .

If J has finitely many elements, we say  $\mathcal{U}'$  is a finite subcover of X.

We say X is compact if any open cover of X has a finite subcover.

**R** If  $A \subseteq X$  has a subspace topology. then A is compact iff for any open collection of open sets (in X)  $\{U_i\}$  such that  $A \subseteq \bigcup_{i \in I} U_i$ , there exists a finite subcover  $A \subseteq \bigcup_{k=1}^n U_{i_k}$ .

**Proposition 4.15** Let *X* be a topological space. The followings are equivalent:

- 1. The space *X* is compact
- 2. If  $\{V_i \mid i \in I\}$  is a collection of closed subsets in X such that

$$\bigcap_{j\in J} V_j \neq \emptyset, \quad \text{for all finite } J \subseteq I,$$

then  $\cap_{i \in I} V_i \neq \emptyset$ .

Compactness is an **intrisical** property, i.e., we do not need to worry about which underlying space for this definition.

Example 4.6
1. X ⊆ ℝ<sup>n</sup> is compact iff X is closed and bounded. (Heine-Borel)
2. Let K ⊆ ℝ<sup>n</sup> be compact, then define the set

$$\mathcal{C}(K) = \{ \text{all continuous mapping } f : K \to \mathbb{R} \}$$

Note that the  $d_{\infty}$  metric associated with C(K), say  $||f||_{\infty} = \sup_{k \in K} f(k)$ , is well-defined.

Under the metric space  $(\mathcal{C}(K), d_{\infty})$ , any  $\mathcal{J} \subseteq \mathcal{C}(K)$  is compact, if and only if  $\mathcal{J}$  is closed, bounded, and equi-continuous. (Aresul-Ascoli)

Therefore, we can see that the compactness is not equivalent to the closedness together with boundedness.

**Proposition 4.16** Let *X* be a compact space, then all closed subset  $A \subseteq X$  are compact. *Proof.* Let  $\{V_i \mid i \in I\}$  be a collection of closed subsets in *A* such that

$$\cap_{j \in J} V_j \neq \emptyset$$
, for any finite  $J \subseteq I$ .

As *A* is closed in *X*, we imply  $V_i$  is closed in *X*.

Due to the compactness of X and proposition (4.15), we imply

$$\cap_{i \in I} V_i \neq \emptyset$$

By the reverse direction of proposition (4.15), we imply A is compact.

**R** Now consider the reverse direction of proposition (4.16), i.e., are all compact subsets  $K \subseteq X$  closed in X?

In general, the converse does not hold. Note that  $K = \{x\}$  is compact for any topology *X*. However, there are some topologies such that  $\{x\}$  is closed.

In order to obtain the converse of proposition (4.16), we need to obtain another **separation axiom**:

**Proposition 4.17** Let *X* be Hausdorff,  $K \subseteq X$  be compact, and  $x \in X \setminus K$ . Then there exists open  $U, V \subseteq X$  such that  $U \cap V = \emptyset$  and

$$U \cap V = \emptyset$$
,  $K \subseteq U$ ,  $x \in V$ .

*Proof.* Let  $k \in K$ , then by Hausdorffness, there exists open  $U_k \ni k, V_k \ni x$  such that  $U_k \cap V_k = \emptyset$ . Therefore,  $\{U_k\}_{k \in K}$  forms an open cover of K. By compactness of K,  $\{U_{k_i}\}_{i=1}^n$  covers K. Constructing the set

$$U:=\bigcup_{i=1}^n U_{k_i}, \quad V=\bigcap_{i=1}^n V_{k_i}$$

gives the desired result.

By making use of this separation axiom, we obtain the converse of proposition (4.16):

**Corollary 4.3** All compact K in Hausdorff X is closed.

*Proof.* For  $\forall x \in X \setminus K$ , by proposition (4.17) there exists open V such that  $x \in V \subseteq X \setminus K$ , and therefore  $X \setminus K$  is open.