

## 13.6. Wednesday for MAT4002

### 13.6.1. Applications on the isomorphism of fundamental group

#### Theorem 13.6

$$\pi_1(S^1) \cong (\mathbb{Z}, +)$$

*Proof.* Define the orientation of  $|K|$  as shown in Fig. (13.3).

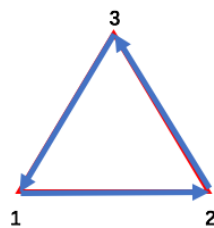


Figure 13.3: Orientation of  $|K|$

Following the proof during last lecture, we construct

$$\phi : E(K, 1) \rightarrow (\mathbb{Z}, +)$$

with  $[\alpha] \mapsto \text{winding number of } \alpha$

where the winding number of  $\alpha$  is the

number of 23 appearing in  $\alpha$  – number of 32 appearing in  $\alpha$ .

Note that

1. The winding number is invariant under elementary contraction and elementary expansion.

2. In particular,

$$\begin{aligned} \text{winding number for } (1 \underbrace{23 \cdots 123}_{23 \text{ shows for } m \text{ times}} 1) &= m \\ \text{winding number for } (1 \underbrace{32 \cdots 132}_{32 \text{ shows for } n \text{ times}} 1) &= -n \end{aligned}$$

3. For any given  $\alpha$ , it is equivalent to a unique  $(123123 \cdots 1231)$  or  $(132 \cdots 1321)$ , since otherwise  $\alpha$  will have different winding numbers.

Therefore, (1) and (3) shows the well-definedness of  $\phi$ . In particular, (1) shows that as  $\alpha \sim \alpha'$ , we have  $\phi([\alpha]) = \phi([\alpha'])$ ; (2) shows that the winding number of  $\alpha$  is an unique integer.

- Homomorphism: For given any two edge loops  $\alpha, \beta$  based at 1, suppose that  $[\alpha] = [(1bc1bc \cdots 1bc1)]$  and  $[\beta] = [(1pq1pq \cdots 1pq1)]$ , then

$$\phi([\alpha] \cdot [\beta]) = \phi([\alpha \cdot \beta]) = [(1bc1bc \cdots 1bc11pq1pq \cdots 1pq1)]$$

Discuss the case for the sign of  $\phi([\alpha])$  and  $\phi([\beta])$  separately gives the desired result.

- Surjectivity: for a given  $m \in \mathbb{Z}$ , construct  $\alpha$  such that  $\phi([\alpha]) = m$  is easy.
- Injectivity: suppose that  $\phi([\alpha]) = 0$ , then by definition of  $\phi$ ,  $[\alpha] = [(1)] = e$ , which is the trivial element in  $E(K, 1)$ .

Therefore,  $\phi$  is an isomorphism. ■

R Actually, we can show that the loop based at 1 given by:

$$\begin{aligned} \ell \quad I &\rightarrow S^1 \\ \text{with } t &\mapsto e^{2\pi i t} \end{aligned}$$

is a generator for  $\pi_1(S^1, 1)$ :

- $\phi([\ell]) = 1$ , where  $\phi : \pi_1(S^1, 1) \cong \mathbb{Z}$ .
- The loop

$$\begin{aligned} \ell^m : I &\rightarrow S^1 \quad m \in \mathbb{Z} \\ \text{with } \ell^m(t) &= e^{2\pi i m t} \end{aligned}$$

gives  $\phi([\ell^m]) = m$

**Corollary 13.4** [Fundamental Theorem of Algebra] All non-constant polynomials in  $\mathbb{C}$  has at least one root in  $\mathbb{C}$

*Proof.* • Suppose on the contrary that

$$p(x) = a_n x^n + \cdots + a_1 x + a_0 \quad a_n \neq 0$$

has no roots, then  $p$  is a mapping from  $\mathbb{C}$  to  $\mathbb{C} \setminus \{0\}$ . It's clear that  $\mathbb{C} \setminus \{0\} \simeq \{z \in \mathbb{C} \mid |z| = 1\}$ , and therefore

$$\pi_1(\mathbb{C} \setminus \{0\}) = \pi_1(S^1) \cong \mathbb{Z}.$$

- The induced homomorphism  $p^*$  of  $p$  is given by:

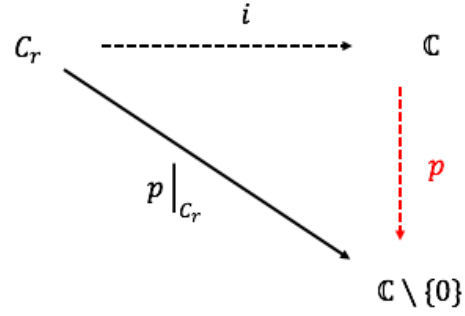
$$\begin{aligned} p_* : \pi_1(\mathbb{C}) &\rightarrow \pi_1(\mathbb{C} \setminus \{0\}) \\ \text{with } \{e\} &\mapsto \mathbb{Z} \end{aligned}$$

Note that  $\pi_1(\mathbb{C})$  is trivial as  $\mathbb{C}$  is contractible. Also,  $p_*(e) = 0$ .

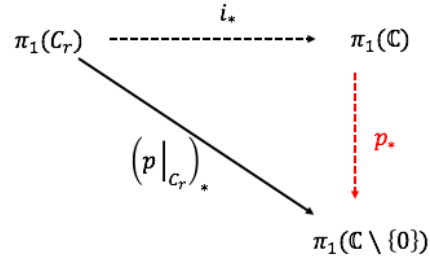
- Consider the inclusion from  $C_r = \{z \in \mathbb{C} \mid |z| = r\}$  to  $\mathbb{C}$ :

$$\begin{aligned} i : C_r &\rightarrow \mathbb{C} \\ \text{with } z &\mapsto z \end{aligned}$$

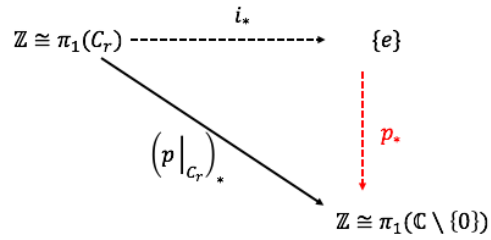
It satisfies the diagram given below:



As a result, the induced homomorphism  $i^*$  of  $i$  satisfies the diagram



Or equivalently,



Therefore,  $p_* \circ i_*$  is a zero map since  $p_*(e) = 0$ , i.e.,  $(p|_{C_r})_*$  is a zero homomorphism.

- Then it's natural to study  $p|_{C_r} : C_r \rightarrow \mathbb{C} \setminus \{0\}$ . Construct

$$\begin{cases} q(z) = k \cdot z^n, & k := \frac{p(r)}{r^n} \text{ is a constant} \\ p(z) = a_n z^n + \cdots + a_1 z + a_0 \end{cases}$$

Therefore,  $p(r) = q(r)$ , and  $p|_{C_r}, q|_{C_r} : C_r \rightarrow \mathbb{C} \setminus \{0\}$ .

– We claim that  $p|_{C_r} \simeq q|_{C_r}$  for large  $r$ . First construct the mapping

$$\begin{aligned} H: C_r \times [0, 1] &\rightarrow \mathbb{C} \\ \text{with } H(z, t) &= tp(z) + (1 - t)q(z) \\ \text{and } H(z, 0) &= q(z), H(z, 1) = p(z) \end{aligned}$$

If we want to show  $H$  is the homotopy between  $p|_{C_r}$  and  $q|_{C_r}$ , it suffices to show that  $H$  is well-defined, i.e.,  $H : c_r \times [0, 1] \rightarrow \mathbb{C} \setminus \{0\}$ .

Suppose on the contrary that there exists  $(z, t)$  such that

$$(1 - t)p(z) + tq(z) = 0, \quad |z| = r, t \in [0, 1]$$

Or equivalently,

$$(1 - t)(a_n z^n + \cdots + a_1 z + a_0) + t \cdot k z^n = 0.$$

Substituting  $k$  with  $p(r)/r^n$  gives

$$a_n z^n + \cdots + a_1 z + a_0 = t \left( a_{n-1} z^{n-1} + \cdots + a_0 - a_{n-1} \frac{z^n}{r} - \cdots - a_1 \frac{z^n}{r^{n-1}} - a_0 \frac{z^n}{r^n} \right)$$

The LHS has leading order  $n$ , while the RHS has leading order less or equal to  $n - 1$ . As  $r = |z| \rightarrow \infty$ ,  $t \rightarrow \infty$ . Therefore, the equality does not hold in the range  $t \in [0, 1]$  when  $r$  is sufficiently large.

For this choice of  $r = |z|$ ,

$$H : c_r \times [0, 1] \rightarrow \mathbb{C} \setminus \{0\}$$

gives the homotopy  $p|_{C_r} \simeq q|_{C_r}$ .

- Therefore, we imply  $(p|_{C_r})_* = (q|_{C_r})_*$ . Now we check the mapping  $(q|_{C_r})_* : \mathbb{Z} \rightarrow \mathbb{Z}$ . In particular, we check the value of  $(q|_{C_r})_*(e)$ , where  $e$  is the identity in  $\pi_1(C_r)$ .

Here we construct the loop

$$\begin{aligned}\ell : \quad I &\rightarrow C_r \\ \text{with } \ell(t) &= re^{2\pi it}\end{aligned}$$

and therefore  $[\ell] = e$ . It follows that

$$(q|_{C_r})_*(e) = (q|_{C_r})_*([\ell]) = [q|_{C_r}(\ell)] = q(re^{2\pi it}) = k \cot r^n \cdot e^{2\pi int} \neq 0.$$

Therefore,  $(q|_{C_r})_*$  is not a zero homomorphism, i.e.,  $(p|_{C_r})_* \cong (q|_{C_r})_*$  is not a zero homomorphism, which is a contradiction. ■