

# Chapter 13

## Week13

### 13.1. Monday for MAT3040

Reviewing.

1. Define  $S = \{(\mathbf{v}, \mathbf{w}) \mid \mathbf{v} \in V, \mathbf{w} \in W\}$  and  $\mathfrak{X} = \text{span}(S)$ . In  $\mathfrak{X}$ , there are no relations between distinct elements of  $S$ , e.g.,

$$2(\mathbf{v}, 0) + 3(0, \mathbf{w}) \neq 1(2\mathbf{v}, 3\mathbf{w})$$

General element in  $\mathfrak{X}$ :

$$a_1(\mathbf{v}_1, \mathbf{w}_1) + \cdots + a_n(\mathbf{v}_n, \mathbf{w}_n),$$

where  $(\mathbf{v}_i, \mathbf{w}_i)$  are distinct.

2. Define the space  $V \otimes W = \mathfrak{X}/y$ , with

$$\mathbf{v} \otimes \mathbf{w} = 1(\mathbf{v}, \mathbf{w}) + y \in V \otimes W.$$

General element in  $\mathfrak{X}/y := V \otimes W$ :

$$\begin{aligned} a_1(\mathbf{v}_1, \mathbf{w}_1) + \cdots + a_n(\mathbf{v}_n, \mathbf{w}_n) + y &= a_1((\mathbf{v}_1, \mathbf{w}_1) + y) + \cdots + a_n((\mathbf{v}_n, \mathbf{w}_n) + y) \\ &= a_1(\mathbf{v}_1 \otimes \mathbf{w}_1) + \cdots + a_n(\mathbf{v}_n \otimes \mathbf{w}_n) \\ &= (a_1\mathbf{v}_1) \otimes \mathbf{w}_1 + \cdots + (a_n\mathbf{v}_n) \otimes \mathbf{w}_n \end{aligned}$$

Therefore, a general element in  $V \otimes W$  is of the form

$$\mathbf{v}'_1 \otimes \mathbf{w}_1 + \cdots + \mathbf{v}'_n \otimes \mathbf{w}_n, \quad \mathbf{v}'_i \in V, \mathbf{w}_i \in W. \quad (13.1)$$

Note that  $V \otimes W$  is different from  $V \times W$ , where all elements in  $V \times W$  can be expressed as  $(\mathbf{v}, \mathbf{w})$ .

### 3. The tensor product mapping

$$\begin{aligned} i: \quad V \times W &\rightarrow V \otimes W \\ \text{with } (\mathbf{v}, \mathbf{w}) &\mapsto \mathbf{v} \otimes \mathbf{w} \end{aligned}$$

satisfies the universal property.

Here we present an example for computing tensor product by making use of the rules below:

$$(\mathbf{v}_1 + \mathbf{v}_2) \otimes \mathbf{w} = \mathbf{v}_1 \otimes \mathbf{w} + \mathbf{v}_2 \otimes \mathbf{w}$$

$$\mathbf{v} \otimes (\mathbf{w}_1 + \mathbf{w}_2) = (\mathbf{v} \otimes \mathbf{w}_1) + (\mathbf{v} \otimes \mathbf{w}_2)$$

$$(k\mathbf{v}) \otimes \mathbf{w} = k(\mathbf{v} \otimes \mathbf{w})$$

$$\mathbf{v} \otimes (k\mathbf{w}) = k(\mathbf{v} \otimes \mathbf{w})$$

■ **Example 13.1** Let  $V = W = \mathbb{R}^2$ , with

$$\mathbf{e}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \mathbf{e}_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

Here we have

$$\begin{aligned}
 \begin{pmatrix} 3 \\ 1 \end{pmatrix} \otimes \begin{pmatrix} -4 \\ 2 \end{pmatrix} &= (3\mathbf{e}_1 + 2\mathbf{e}_2) \otimes (-4\mathbf{e}_1 + 2\mathbf{e}_2) \\
 &= (3\mathbf{e}_1) \otimes (-4\mathbf{e}_1 + 2\mathbf{e}_2) + (\mathbf{e}_2) \otimes (-4\mathbf{e}_1 + 2\mathbf{e}_2) \\
 &= (3\mathbf{e}_1) \otimes (-4\mathbf{e}_1) + (3\mathbf{e}_1) \otimes (2\mathbf{e}_2) + (\mathbf{e}_2) \otimes (-4\mathbf{e}_1) + \mathbf{e}_2 \otimes (2\mathbf{e}_2) \\
 &= -12(\mathbf{e}_1 \otimes \mathbf{e}_1) + 6(\mathbf{e}_1 \otimes \mathbf{e}_2) - 4(\mathbf{e}_2 \otimes \mathbf{e}_1) + 2(\mathbf{e}_2 \otimes \mathbf{e}_2)
 \end{aligned}$$

Exercise: Check that  $\mathbf{e}_1 \otimes \mathbf{e}_2 + \mathbf{e}_2 \otimes \mathbf{e}_1$  cannot be re-written as

$$(a\mathbf{e}_1 + b\mathbf{e}_2) \otimes (c\mathbf{e}_1 + d\mathbf{e}_2), \quad a, b, c, d \in \mathbb{R}.$$

### 13.1.1. Basis of $V \otimes W$

**Motivation.** Given that  $\{\mathbf{v}_1, \dots, \mathbf{v}_n\}$  is a basis of  $V$ , and  $\{\mathbf{w}_1, \dots, \mathbf{w}_m\}$  a basis of  $W$ , we aim to find a basis of  $V \otimes W$  using  $\mathbf{v}_i$ 's and  $\mathbf{w}_j$ 's.

**Proposition 13.1** The set  $\{\mathbf{v}_i \otimes \mathbf{w}_j \mid 1 \leq i \leq n, 1 \leq j \leq m\}$  spans the tensor product space  $V \otimes W$ .

*Proof.* Consider any  $\mathbf{v} \in V$  and  $\mathbf{w} \in W$ , and we want to express  $\mathbf{v} \otimes \mathbf{w}$  in terms of  $\mathbf{v}_i, \mathbf{w}_j$ . Suppose that  $\mathbf{v} = \alpha_1 \mathbf{v}_1 + \dots + \alpha_n \mathbf{v}_n$  and  $\mathbf{w} = \beta_1 \mathbf{w}_1 + \dots + \beta_m \mathbf{w}_m$ .

Substituting  $\mathbf{v} = \alpha_1 \mathbf{v}_1 + \dots + \alpha_n \mathbf{v}_n$  into the expression  $\mathbf{v} \otimes \mathbf{w}$ , we imply

$$\begin{aligned}
 \mathbf{v} \otimes \mathbf{w} &= (\alpha_1 \mathbf{v}_1 + \dots + \alpha_n \mathbf{v}_n) \otimes \mathbf{w} \\
 &= (\alpha_1 \mathbf{v}_1) \otimes \mathbf{w} + \dots + (\alpha_n \mathbf{v}_n) \otimes \mathbf{w} \\
 &= \alpha_1(\mathbf{v}_1 \otimes \mathbf{w}) + \dots + \alpha_n(\mathbf{v}_n \otimes \mathbf{w})
 \end{aligned}$$

For each  $\mathbf{v}_i \otimes \mathbf{w}$ ,  $i = 1, \dots, n$ , similarly,

$$\mathbf{v}_i \otimes \mathbf{w} = \beta_1(\mathbf{v}_i \otimes \mathbf{w}_1) + \dots + \beta_m(\mathbf{v}_i \otimes \mathbf{w}_m).$$

Therefore,

$$\mathbf{v} \otimes \mathbf{w} = \sum_{i=1}^n \sum_{j=1}^m \alpha_i \beta_j (\mathbf{v}_i \otimes \mathbf{w}_j) \quad (13.2)$$

By (13.1), any vector in  $V \otimes W$  is of the form

$$\mathbf{v}^{(1)} \otimes \mathbf{w}^{(1)} + \cdots + \mathbf{v}^{(\ell)} \otimes \mathbf{w}^{(\ell)}$$

By (13.2), each  $\mathbf{v}^{(k)} \otimes \mathbf{w}^{(k)}, k = 1, \dots, \ell$ , can be expressed as

$$\mathbf{v}^{(k)} \otimes \mathbf{w}^{(k)} = \sum_{i=1}^n \sum_{j=1}^m \alpha_i^{(k)} \beta_j^{(k)} (\mathbf{v}_i \otimes \mathbf{w}_j)$$

Therefore,

$$\mathbf{v}^{(1)} \otimes \mathbf{w}^{(1)} + \cdots + \mathbf{v}^{(\ell)} \otimes \mathbf{w}^{(\ell)} = \sum_{k=1}^{\ell} \sum_{i=1}^n \sum_{j=1}^m \alpha_i^{(k)} \beta_j^{(k)} (\mathbf{v}_i \otimes \mathbf{w}_j)$$

In other words,  $\{\mathbf{v}_i \otimes \mathbf{w}_j \mid 1 \leq i \leq n, 1 \leq j \leq m\}$  spans  $V \otimes W$ . ■

**Theorem 13.1** A basis of  $V \otimes W$  is  $\{\mathbf{v}_i \otimes \mathbf{w}_j \mid 1 \leq i \leq n, 1 \leq j \leq m\}$

*Proof.* By proposition (13.1), it suffices to show that the set  $\{\mathbf{v}_i \otimes \mathbf{w}_j \mid 1 \leq i \leq n, 1 \leq j \leq m\}$  is linear independent. Suppose that

$$\sum_{i=1}^n \sum_{j=1}^m \alpha_{ij} (\mathbf{v}_i \otimes \mathbf{w}_j) = \mathbf{0} \quad (13.3)$$

Suppose that  $\{\phi_1, \dots, \phi_n\}$  is a dual basis of  $V^*$ , and  $\{\psi_1, \dots, \psi_m\}$  is a dual basis of  $W^*$ .

Construct the mapping

$$\pi_{p,q} : V \times W \rightarrow \mathbb{F}$$

$$\text{with } \pi_{p,q} = \phi_p(\mathbf{v})\psi_q(\mathbf{w})$$

- The mapping  $\pi_{p,q}$  is actually bilinear: for instance,

$$\begin{aligned}
 \pi_{p,q}(a\mathbf{v}_1 + b\mathbf{v}_2, \mathbf{w}) &= \phi_p(a\mathbf{v}_1 + b\mathbf{v}_2)\psi_q(\mathbf{w}) \\
 &= (a\phi_p(\mathbf{v}_1) + b\phi_p(\mathbf{v}_2))\psi_q(\mathbf{w}) \\
 &= a\phi_p(\mathbf{v}_1)\psi_q(\mathbf{w}) + b\phi_p(\mathbf{v}_2)\psi_q(\mathbf{w}) \\
 &= a\pi_{p,q}(\mathbf{v}_1, \mathbf{w}) + b\pi_{p,q}(\mathbf{v}_2, \mathbf{w}).
 \end{aligned}$$

Following the similar ideas, we can check that  $\pi_{p,q}(\mathbf{v}, a\mathbf{w}_1 + b\mathbf{w}_2) = a\pi_{p,q}(\mathbf{v}, \mathbf{w}_1) + b\pi_{p,q}(\mathbf{v}, \mathbf{w}_2)$ .

- Therefore,  $\pi_{p,q} \in \text{Obj}$ . By the universal property of the tensor product,  $\pi_{p,q}$  induces the unique linear transformation

$$\begin{aligned}
 \Pi_{p,q} : V \otimes W &\rightarrow \mathbb{F} \\
 \text{with } \Pi_{p,q}(\mathbf{v} \otimes \mathbf{w}) &= \pi_{p,q}(\mathbf{v}, \mathbf{w})
 \end{aligned}$$

In other words,  $\Pi_{p,q}(\mathbf{v} \otimes \mathbf{w}) = \phi_p(\mathbf{v})\psi_q(\mathbf{w})$ .

- Applying the mapping  $\Pi_{p,q}$  on both sides of (13.3), we imply

$$\Pi_{p,q} \left( \sum_{i=1}^n \sum_{j=1}^n \alpha_{ij} (\mathbf{v}_i \otimes \mathbf{w}_j) \right) = \Pi_{p,q}(\mathbf{0})$$

Or equivalently,

$$\sum_{i=1}^n \sum_{j=1}^n \alpha_{ij} \Pi_{p,q}(\mathbf{v}_i \otimes \mathbf{w}_j) = 0,$$

i.e.,

$$\sum_{i=1}^n \sum_{j=1}^n \alpha_{ij} \phi_p(\mathbf{v}_i) \psi_q(\mathbf{w}_j) = \alpha_{p,q} = 0$$

Following this procedure, we can argue that  $\alpha_{ij} = 0, \forall i, \forall j$ .

■

**Corollary 13.1** If  $\dim(V), \dim(W) < \infty$ , then  $\dim(V \otimes W) = \dim(V) \dim(W)$

*Proof.* Check dimension of the basis of  $V \otimes W$ .

■

**R** The universal property can be very helpful. In particular, given a bilinear mapping, say  $\phi : V \times W \rightarrow U$ , we imply  $\phi \in \text{Obj}$ . By theorem (12.3), since  $i$  satisfies the universal property of tensor product, we can induce an unique linear transformation  $\psi : V \otimes W \rightarrow U$ .

Let's try another example for making use of the universal property:

**Theorem 13.2** For finite dimension  $U$  and  $V$ ,

$$V \otimes U \cong U \otimes V$$

*Proof.* Construct the mapping

$$\begin{aligned} \phi : \quad V \times U &\rightarrow U \otimes V \\ \text{with } \phi(\mathbf{v}, \mathbf{u}) &= \mathbf{u} \otimes \mathbf{v} \end{aligned}$$

Indeed,  $\phi$  is bilinear: for instance,

$$\begin{aligned} \phi(a\mathbf{v}_1 + b\mathbf{v}_2, \mathbf{u}) &= \mathbf{u} \otimes (a\mathbf{v}_1 + b\mathbf{v}_2) \\ &= a(\mathbf{u} \otimes \mathbf{v}_1) + b(\mathbf{u} \otimes \mathbf{v}_2) \\ &= a\phi(\mathbf{v}_1, \mathbf{u}) + b\phi(\mathbf{v}_2, \mathbf{u}) \end{aligned}$$

Therefore,  $\phi \in \text{Obj}$ . By the universal property of tensor product, we induce an unique linear transformation

$$\begin{aligned} \Phi : \quad V \otimes U &\rightarrow U \otimes V \\ \text{with } \Phi(\mathbf{v} \otimes \mathbf{u}) &= \mathbf{u} \otimes \mathbf{v} \end{aligned}$$

Similarly, we may induce the linear transformation

$$\begin{aligned} \Psi : \quad U \otimes V &\rightarrow V \otimes U \\ \text{with } \Psi(\mathbf{u} \otimes \mathbf{v}) &= \mathbf{v} \otimes \mathbf{u} \end{aligned}$$

Given any  $\sum_i \mathbf{u}_i \otimes \mathbf{v}_i \in U \otimes V$ , observe that

$$\begin{aligned}
 (\Phi \circ \Psi) \left( \sum_i \mathbf{u}_i \otimes \mathbf{v}_i \right) &= \Phi \left( \sum_i \Psi(\mathbf{u}_i \otimes \mathbf{v}_i) \right) \\
 &= \Phi \left( \sum_i \mathbf{v}_i \otimes \mathbf{u}_i \right) \\
 &= \sum_i \Phi(\mathbf{v}_i \otimes \mathbf{u}_i) \\
 &= \sum_i \mathbf{u}_i \otimes \mathbf{v}_i
 \end{aligned}$$

Therefore,  $\Phi \circ \Psi = \text{id}_{U \otimes V}$ . Similarly,  $\Psi \circ \Phi = \text{id}_{V \otimes U}$ . Therefore,

$$U \otimes V \cong V \otimes U.$$

■

### 13.1.2. Tensor Product of Linear Transformation

**Motivation.** Given two linear transformations  $T : V \rightarrow V'$  and  $S : W \rightarrow W'$ , we want to construct the tensor product

$$T \otimes S : V \otimes W \rightarrow V' \otimes W'$$

Question: is  $T \otimes S$  a linear transformation?

Answer: Yes. Universal property plays a role!